

General information about company	
Scrip code	533107
NSE Symbol	SWANDEF
MSEI Symbol	NOTLISTED
ISIN	INE542F01020
Name of the company	Swan Defence and Heavy Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2025
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	No	No	No	No
5	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
6	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
7	Whether any shares held by promoters are encumbered under "Pledged"?	No	No		
8	Whether any shares held by promoters are encumbered under "Non-Disposal Undertaking"?	No	No		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	No	No		
10	Whether company has equity shares with differential voting rights?	No	No	No	No
11	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.13
As on the end of previous 1st quarter	100	0.14
As on the end of previous 2nd quarter	100	0.14
As on the end of previous 3rd quarter	100	0.14
As on the end of previous 4th quarter	100	0.14

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)			
								No of Voting (XIV) Rights										
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	1	50000000			50000000	94.91	50000000		50000000	94.91				50000000			
(B)	Public	96585	2682150			2682150	5.09	2682150		2682150	5.09				2682150			
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	96586	52682150			52682150	100	52682150		52682150	100				52682150			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non- Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	Sub- category (i)	Sub- category (ii)	Sub- category (iii)
(A)	Promoter & Promoter Group	94.91	50000000	100								50000000			
(B)	Public	5.09										2682150	0	0	0
(C)	Non Promoter- Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
	Total	100	50000000	94.91								52682150	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr. No.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC) (XI)= (VII+X)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)									
								No of Voting (XIV) Rights		Total as a % of Total Voting rights														
								Class eg: X	Class eg: Y	Total														
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																							
(1)	Indian																							
(d)	Any Other (specify)	1	50000000			50000000	94.91	50000000		50000000	94.91			50000000										
Sub-Total (A)(1)		1	50000000			50000000	94.91	50000000		50000000	94.91			50000000										
(2)	Foreign																							
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	50000000			50000000	94.91	50000000		50000000	94.91			50000000										
B	Table III - Statement showing shareholding pattern of the Public shareholder																							
(1)	Institutions (Domestic)																							
(a)	Mutual Funds	0	0			0	0	0		0	0			0										
(e)	Insurance Companies	1	212603			212603	0.4	212603		212603	0.4			212603										
(i)	NBFCs registered with RBI	1	14			14	0	14		14	0			14										
Sub-Total (B)(1)		2	212617			212617	0.4	212617		212617	0.4			212617										
(2)	Institutions (Foreign)																							
(d)	Foreign Portfolio Investors Category I	5	3831			3831	0.01	3831		3831	0.01			3831										
(e)	Foreign Portfolio Investors Category II	1	9090			9090	0.02	9090		9090	0.02			9090										
(f)	Overseas Depositories (holding DRs) (balancing figure)	0	0			0	0	0		0	0			0										

(g)	Any Other (specify)	0	0			0	0	0		0	0				0
Sub-Total (B)(2)		6	12921			12921	0.02	12921		12921	0.02				12921
(3)	Central Government / State Government(s)														
(4)	Non-institutions														
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	92134	1568162			1568162	2.98	1568162		1568162	2.98				1568162
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	2	116900			116900	0.22	116900		116900	0.22				116900
(i)	Non Resident Indians (NRIs)	1221	57441			57441	0.11	57441		57441	0.11				57441
(j)	Foreign Nationals	0	0			0	0	0		0	0				0
(k)	Foreign Companies	0	0			0	0	0		0	0				0
(l)	Bodies Corporate	497	634280			634280	1.2	634280		634280	1.2				634280
(m)	Any Other (specify)	2723	79829			79829	0.15	79829		79829	0.15				79829
Sub-Total (B)(4)		96577	2456612			2456612	4.66	2456612		2456612	4.66				2456612
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		96585	2682150			2682150	5.09	2682150		2682150	5.09				2682150
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder														
Total (A+B+C2)		96586	52682150			52682150	100	52682150		52682150	100				52682150
Total (A+B+C)		96586	52682150			52682150	100	52682150		52682150	100				52682150

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr. No.	Category & Name of the Shareholders (I)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	Sub- category (i)	Sub- category (ii)	Sub- category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group														
(1)	Indian														
(d)	Any Other (specify)	94.91	50000000	100									50000000		
Sub-Total (A)(1)		94.91	50000000	100									50000000		
(2)	Foreign														
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		94.91	50000000	100									50000000		
B	Table III - Statement showing shareholding pattern of the Public shareholder														
(1)	Institutions (Domestic)														
(a)	Mutual Funds	0											0	0	0
(e)	Insurance Companies	0.4											212603	0	0
(i)	NBFCs registered with RBI	0											14	0	0
Sub-Total (B)(1)		0.4											212617	0	0
(2)	Institutions (Foreign)														
(d)	Foreign Portfolio Investors Category I	0.01											3831	0	0
(e)	Foreign Portfolio Investors Category II	0.02											9090	0	0

(f)	Overseas Depositories (holding DRs) (balancing figure)	0									0	0	0	0
(g)	Any Other (specify)	0									0	0	0	0
Sub-Total (B)(2)		0.02									12921	0	0	0
(3)	Central Government / State Government(s)													
(4)	Non-institutions													
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	2.98									1568162	0	0	0
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	0.22									116900	0	0	0
(i)	Non Resident Indians (NRIs)	0.11									57441	0	0	0
(j)	Foreign Nationals	0									0	0	0	0
(k)	Foreign Companies	0									0	0	0	0
(l)	Bodies Corporate	1.2									634280	0	0	0
(m)	Any Other (specify)	0.15									79829	0	0	0
Sub-Total (B)(4)		4.66									2456612	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		5.09									2682150	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder													
Total (A+B+C2)		100	50000000	94.91							52682150			
Total (A+B+C)		100	50000000	94.91							52682150			
Disclosure of notes in case of public share holding is less than 25 percentage												Textual Information(1)		

Text Block	
Textual Information(1)	The Company is exploring options to increase in public shareholding and the will be achieved in due course of time.

Any Other (specify)			
Sr. No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Hazel Infra Limited	Swan Corp Limited (formerly known as Swan Energy Limited)	
PAN (II)			Total
No. of the Shareholders (I)	1	0	1
No. of fully paid up equity shares held (IV)	50000000	0	50000000
Total nos. shares held (VII) = (IV)+(V)+(VI)	50000000	0	50000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	94.91	0	94.91
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	50000000	0	50000000
Total	50000000	0	50000000
Total as a % of Total Voting rights	94.91	0	94.91
Total No. of shares on fully diluted basis (including warrants and Convertible Securities etc.) (XI)=(VII+X)	50000000	0	50000000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	94.91	0	94.91
Number of Locked in shares (XIII)			
No. (a)	50000000	0	50000000
As a % of total Shares held (b)	100	0	100
Number of equity shares held in dematerialized form (XVIII)	50000000	0	50000000
Reason for not providing PAN			

Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Bodies Corporate		
Sr. No.	1	
Name of the Shareholders (I)	VISTRA ITCL INDIA LIMITED	Click here to go back
PAN (II)		Total
No. of fully paid up equity shares held (IV)	527654	527654
Total nos. shares held (VII) = (IV)+(V)+(VI)	527654	527654
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1	1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	527654	527654
Total	527654	527654
Total as a % of Total Voting rights	1	1
Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	527654	527654
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	1	1
Number of equity shares held in dematerialized form (XIV)	527654	527654
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)				
Sr. No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	1	2718	4	2723
No. of fully paid up equity shares held (IV)	25	79774	30	79829
Total nos. shares held (VII) = (IV)+(V)+(VI)	25	79774	30	79829
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.15	0	0.15
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	25	79774	30	79829
Total	25	79774	30	79829
Total as a % of Total Voting rights	0	0.15	0	0.15
Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	25	79774	30	79829
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X) As a % of (A+B+C2)	0	0.15	0	0.15
Number of equity shares held in dematerialized form (XIV)	25	79774	30	79829
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				

Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

